

DILLARD'S, INC. Reported by JAZIC ANNEMARIE

FORM 5

(Annual Statement of Changes in Beneficial Ownership)

Filed 03/20/18 for the Period Ending 02/03/18

Address 1600 CANTRELL RD LITTLE ROCK, AR, 72201 Telephone 5013765200 0000028917 CIK Symbol DDS SIC Code 5311 - Retail-Department Stores Industry **Department Stores Consumer Cyclicals** Sector **Fiscal Year** 02/03

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□ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

□ Form 3 Holdings Reported

Form 4 Transactions Reported

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0362 Estimated average burden hours per response... 1.0

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|--|--|--|--|--|--|--|
| Jazic Annemarie | DILLARD'S, INC. [DDS] | Director 10% Owner | | | | |
| (Last) (First) (Middle) | 3. Statement for Issuer's Fiscal Year Ended (MM/DD/YYYY) | Director 10% Owner _X Officer (give title below) Other (specify below) | | | | |
| 1600 CANTRELL ROAD | 2/3/2018 | Vice President | | | | |
| (Street) | 4. If Amendment, Date Original Filed(MM/DD/YYYY) | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | | |
| LITTLE ROCK, AR 72201 | | X_Form Filed by One Reporting Person Form Filed by More than One Reporting Person | | | | |
| (City) (State) (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Those I Those Detrivative Scentrices Frequences, Dispessed of, or Deneneming of them | | | | | | | | | | |
|--|-----------|---|------------------------------|--------------------|---------------|-------|------------------------------------|----------------------------------|---|--|
| 1. Title of Security (Instr. 3) | | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) | or Disposed of (D) | | | (Instr. 3 and 4) | Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership | |
| | | | | Amount | (A) or (D) | Price | | or Indirect (I) (Instr. 4) | (Instr. 4) | |
| Common Class A | 5/22/2017 | | G | 550 | Α | \$0 | 38,240 ^(<u>4</u>) | D | | |
| Common Stock - Retirement Plan | | | | | | | 3,948 ^(<u>3</u>) | D | | |
| Common Class A | | | | | | | 38,423 (1) | I | See footnote (1) | |
| Common Class A | | | | | | | 250 (2) | I | See footnote (2) | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | _ | | | | | | | | | | | |
|----------------------|---------------|-----------|--------------|------------|----------------|------------|---------------------|------------|---------|----------------------------|-------------|--------------|-------------|-------------|
| 1. Title of Derivate | 2. Conversion | 3. Trans. | 3A. Deemed | 4. Trans. | 5. Number | of | 6. Date Exer | cisable | 7. Titl | e and Amount of | 8. Price of | 9. Number | 10. | 11. Nature |
| Security | or Exercise | Date | Execution | Code | Derivative | Securities | and Expirati | on Date | Secur | ities Underlying | Derivative | of | Ownership | of Indirect |
| (Instr. 3) | Price of | | Date, if any | (Instr. 8) | Acquired (A | A) or | (MM/DD/Y | YYY) | Deriv | ative Security | Security | Derivative | Form of | Beneficial |
| | Derivative | | - | | Disposed of | f (D) | - | - | (Instr. | 3 and 4) | (Instr. 5) | Securities | Derivative | Ownership |
| | Security | | | | (Instr. 3, 4 a | and 5) | | | - | - | | Beneficially | Security: | (Instr. 4) |
| | - | | | | | | | | | | | Owned at | Direct (D) | |
| | | | | | | | | | | | | End of | or Indirect | |
| | | | | | | | Data | Emination | | Amount on Number of | | Issuer's | (I) | |
| | | | | | | | Date Exercisable | Expiration | Title | Amount or Number of Shares | | Fiscal Year | (Instr. 4) | |
| | | | | | (A) | (D) | Exercisable | Date | | Shares | | (Instr. 4) | | |

Explanation of Responses:

- (1) Trustee on shares held in Trust accounts.
- (2) Owned by Spouse
- (3) Between January 29, 2017 and February 3, 2018, the reporting person acquired 171 shares of Dillard's Class A Common Stock under the Dillard's 401(k) plan. This acquisition is exempt under Rule 16b-3(c).
- (4) This amount has been adjusted to account for a record discrepancy resulting from the rounding of fractional shares.

Reporting Owners

| Penerting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|----------------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| Jazic Annemarie | | | | | | | |
| 1600 CANTRELL ROAD | | | Vice President | | | | |
| LITTLE ROCK, AR 72201 | | | | | | | |

Signatures

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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