

STOCK YARDS BANCORP, INC.

Reported by **NEWTON MICHAEL B**

FORM 3

(Initial Statement of Beneficial Ownership)

Filed 11/21/18 for the Period Ending 11/21/18

Address 1040 E MAIN ST

LOUISVILLE, KY, 40206

Telephone 5025822571

CIK 0000835324

Symbol SYBT

SIC Code 6022 - State Commercial Banks

Industry Banks

Sector Financials

Fiscal Year 12/31



UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. I taille and I tauress of Reporting I elson | | of Event Re nt (MM/DE | | | 3. Issuer Name and Ticker or Trading Symbol Stock Yards Bancorp, Inc. [SYBT] | | | | |
|--|---------------------|---|---------------------------------------|--|--|---|---|--|--|
| | | 11/21/20 | 18 | Stock Yards | | | | | |
| (Last) (First) (Middle) | 4. Relat | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
| PO BOX 32890 Director X Officer (g Sr. Vice Preside | | | · · · · · · · · · · · · · · · · · · · | | | | | | |
| (Street) LOUISVILLE, KY 40232 (City) (State) (Zip) | | nendment, E Filed (MM/ | | Y) X Form filed by C | 6. Individual or Joint/Group Filing (Check Applicable Line) _ X _ Form filed by One Reporting Person _ Form filed by More than One Reporting Person | | | | |
| | Tabl | e I - Non-D |)erivat | ive Securities Benefic | ally Owned | | | | |
| 1.Title of Security (Instr. 4) | | | | lly Owned | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | | |
| Common Stock | | | | 45 | D | | | | |
| Table II - Derivative | Securities 1 | Beneficially | Owne | ed (e.g. , puts, calls, w | arrants, option | s, convertible sec | urities) | | |
| 1. Title of Derivate Security (Instr. 4) 2. Date Exercisable and Expiration Date (MM/DD/YYYY) | | on Date | Secur | le and Amount of ities Underlying ative Security . 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| | Date Exercisable | • | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | | | |

Explanation of Responses:

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|--------------------|-------|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | |
| Newton Michael B | | | | | | |
| PO BOX 32890 | | | Sr. Vice President | | | |
| LOUISVILLE, KY 40232 | | | | | | |

Signatures

/s/ Michael B. Newton 11/21/2018

**Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.