

# ROSS STORES, INC. Reported by HARTSHORN MICHAEL J.

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 08/19/19 for the Period Ending 08/16/19

Address 5130 HACIENDA DRIVE

**DUBLIN, CA, 94568** 

Telephone 9259654400

CIK 0000745732

Symbol ROST

SIC Code 5651 - Retail-Family Clothing Stores

Industry Apparel & Accessories Retailers

Sector Consumer Cyclicals

Fiscal Year 02/03



☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Hartshorn M	lichael J.				RC	SS	STO	RES, IN	C.	[ RO	ST]							
(Last)	(First)	(Mi	ddle)		3. Date of Earliest Transaction (MM/DD/YYYY)						Director 10% Owner  X Officer (give title below) Other (specify below)							
5130 HACIENDA DRIVE					8/16/2019								Group President, COO					
	(Stree	et)			4. I	fAm	endmei	nt, Date O	rigin	al File	d (MM/DI	D/YYY	YY)	6. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
DUBLIN, CA	94568													_X _ Form filed by		ting Person One Reporting F	erson	
(Ci	ity) (Stat	e) (Zip	)															
			Table I	- Non-	Deri	vati	ve Secu	rities Acq	uire	ed, Dis	sposed of	f, or	Ber	neficially Owne	d			
1. Title of Security (Instr. 3)				2A. Deemed Execution Date, if any		3. Trans. Co. (Instr. 8)	or Dispo		rities Acquired (A) osed of (D) s, 4 and 5)		I	nstr. 3 and 4) Form: Direct (		Ownership Form: Direct (D)	Beneficial Ownership			
								Code	V	Amou	. ( )	r Pri	ice				(I) (Instr. 4)	(Instr. 4)
Common Stock				8/16/20	19			A		29,076	<u>(1)</u> <b>A</b>	5	\$0			111,762 (2)	D	
	Tabl	le II - Der	ivative \$	Securit	ies I	Bene	ficially	Owned (a	e.g.,	puts,	calls, wa	rran	ts, c	options, conver	tible secu	ırities)		
Security (Instr. 3)	curity Conversion Date Execution (Ins				ans. Code r. 8) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				and Expiration Date Se Do (In			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported	Ownership Form of	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				С	ode	V	(A)	(D)	Date Exe	cisable	Expiration Date	Title	Am Sha	nount or Number of ares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) Shares issued under the terms of the 2017 Equity Incentive Plan. Shares become vested as follows: 4,846 on September 16, 2022, 7,269 on September 15, 2023 and 16,961 on September 13, 2024.
- (2) Securities Beneficially Owned includes shares acquired pursuant to issuer's employee stock purchase plan in a transaction exempt under Rule 16b-3.

#### Reporting Owners

Panarting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Hartshorn Michael J.								
5130 HACIENDA DRIVE			Group President, COO					
DUBLIN, CA 94568								

#### Signatures

/s/ Michael Hartshorn 8/19/2019
\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.