

PACIFIC HEALTH CARE ORGANIZATION INC

Reported by **KUBOTA TOM**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 08/19/19 for the Period Ending 08/16/19

Address 1201 DOVE STREET

SUITE 300

NEWPORT BEACH, CA, 92260

Telephone (949) 721-8272

CIK 0001138476

Symbol PFHO

SIC Code 8090 - Services-Miscellaneous Health and Allied Services, Not Elsewhere Classified

Industry Healthcare Facilities & Services

Sector Healthcare

Fiscal Year 12/31





☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
)M				PA	CI	FIC H	EALTI	H C	ARI	Ξ							
<i>)</i> 1 1 1				1							01		_X_ Director		_X_ 10°	% Owner	
(First)	(M	iddle)			3. Date of Earliest Transaction (MM/DD/YYYY)								X_ Officer (give title below) Other (specify below) CEO & President				
		#477															
(Stre	et)			4. I	lf An	nendmei	nt, Date C)rigin	al Fi	led (N	IM/D	D/YYYY)	6. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)
веасн,	CA 926	660														erson	
ity) (Star	te) (Zi	p)															
		Table l	I - Non-	-Der	ivat	ive Secu	ırities Ac	quire	ed, D	ispos	ed o	of, or Be	neficially Owne	d			
1. Title of Security (Instr. 3)			2. Trans. I	. Trans. Date		cution	3. Trans. Code (Instr. 8)		or Disposed of (of (D)) `	Following Reported Transaction(s) (Instr. 3 and 4) O For D			Form: Direct (D)	wnership of Indirect brm: Beneficial irect (D) Ownership
							Code	V	Amo		(A) or (D)	Price				(I) (Instr. 4)	(Instr. 4)
			8/16/20	19			P		2	200	A	\$4.8			1,962,200	D (1)	
			8/16/20	19			P		3	800	A	\$5			1,962,500	D (1)	
			8/19/20	19			P		2	251	A	\$5.21			1,962,751	D (1)	
Common 8/19/201				19	P 149 A \$5.2 1,962,				1,962,900	D (1)							
			8/19/20	19			P		1	00	A	\$5			1,963,000	D (1)	
Tab	le II - Dei	rivative	Securit	ties !	Bene	eficially	Owned (e.g.,	puts	, calls	s, wa	arrants,	options, conver	tible secu	rities)		
2. Conversion or Exercise Price of Derivative	3. Trans. Date	Executio	on (Instr. 8		8) Derivativ Acquired Disposed		e Securities (A) or of (D)			e	Securities Derivative	Underlying Security	Derivative Security (Instr. 5)	lerivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
Security								Date		Expira	ition	Title	Amount or Number of		Following Reported Transaction(s)	Direct (D) or Indirect	
			Co	ode	V	(A)	(D)	Exerc	isable	Date		Title	Shares		(Instr. 4)	(1) (IIIsti. 4)	
	(First) IGUEL I (Street BEACH, ity) (State Tab 2. Conversion or Exercise Price of Derivative	(First) (M IGUEL DRIVE, (Street) BEACH, CA 920 ity) (State) (Zi Table II - Detendance of Price of Derivative	(First) (Middle) IGUEL DRIVE, #477 (Street) BEACH, CA 92660 ity) (State) (Zip) Table II - Derivative 2. Conversion or Exercise Price of Derivative Date Date Date, if a part of the price of Derivative Date Date	(First) (Middle) IGUEL DRIVE, #477 (Street) BEACH, CA 92660 ity) (State) (Zip) Table I - Non- 2. Trans. 8/16/20 8/19/20 8/19/20 8/19/20 Table II - Derivative Securi 2. Conversion or Exercise Date Conversion of Exercise Price of Derivative Price of Derivative Conversion of Exercise On Date Conversion of Exercise On Date Conversion On Date, if any Conversion Date Conversion Date, if any Conversion Date	Conversion or Exercise Conversion Conversio	PACI ORG.	PACIFIC H ORGANIZA	PACIFIC HEALTI ORGANIZATION (First) (Middle) 3. Date of Earliest Transc IGUEL DRIVE, #477 8/1 (Street) 4. If Amendment, Date O BEACH, CA 92660 ity) (State) (Zip) Table I - Non-Derivative Securities Ac 2. Trans. Date Execution Date, if any Code 8/16/2019 P 8/19/2019 P 8/19/2019 P 8/19/2019 P Table II - Derivative Securities Beneficially Owned (Instr. 8) Table II - Derivative Securities Beneficially Owned (Instr. 8) Conversion or Exercise Date Execution Date, if any Disposed of (D) (Instr. 8) Disposed of (D) (Instr. 3, 4 and 5)	PACIFIC HEALTH CAORGANIZATION INCOMES Street Solution Street Solution Street Solution Street Solution Solution	PACIFIC HEALTH CARE ORGANIZATION INC First (Middle) 3. Date of Earliest Transaction (MM	PACIFIC HEALTH CARE ORGANIZATION INC PFHO (First) (Middle) 3. Date of Earliest Transaction (MM/DD/Y)	PACIFIC HEALTH CARE ORGANIZATION INC PFHO (First) (Middle) 3. Date of Earliest Transaction (MM/DD/YYYY)	PACIFIC HEALTH CARE ORGANIZATION INC PFHO	PACIFIC HEALTH CARE ORGANIZATION INC [PFHO] (First) (Middle) 3. Date of Earliest Transaction (MM/DD/YYYY) CEO & Presi IGUEL DRIVE, #477 (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) 6. Individual of Exercise (Instr. 3) and 4) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Execution Date, if any) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, conver [2. S. Number of Date, if any] Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, conver [2. S. Number of Date, if any] Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, conver [2. S. Number of Date, if any] Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, conver [2. S. Number of Date, if any] Table II - Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3) and 4) Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, conver [2. S. Number of Date, if any] Date Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Table II - Derivative Securities Date Date (Instr. 8) Date Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Table II - Derivative Securities Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Table II - Derivative Securities Derivative Securities (Instr. 8) Date Date Date Date Date Date Date Date	PACIFIC HEALTH CARE ORGANIZATION INC [PFHO] (First) (Middle) 3. Date of Earliest Transaction (MM/DD/YYYY) (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) (State) (Instr. 3, 4 and 5) (Instr. 3,	PACIFIC HEALTH CARE ORGANIZATION INC PFHO	PACIFIC HEALTH CARE ORGANIZATION INC [PFHO]

Explanation of Responses:

- (1) The Reporting Person holds the shares through the Tom Kubota Revocable Trust of 2013 (the "Trust"). The Reporting Person is the sole Trustee of the Trust. As such, the Reporting Person may be deemed to have voting and/or investment power over the shares held by the Trust and therefore may be deemed to be the beneficial owner of those shares.
- (2) The Series A Preferred Stock converts into Common Stock of the Issuer on a 1:1 basis at any time at the election of the Reporting Person and has no expiration date.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
KUBOTA TOM							
2618 SAN MIGUEL DRIVE, #477	X	X	CEO & President				
NEWPORT BEACH, CA 92660							

Signatures

/s/ Tom Kubota	8/19/2019
**Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.