

INTRA-CELLULAR THERAPIES, INC.

Reported by **SATLIN ANDREW**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 02/20/20 for the Period Ending 02/18/20

Address 430 EAST 29TH STREET

NEW YORK, NY, 10016

Telephone 212-923-3344

CIK 0001567514

Symbol ITCI

SIC Code 2834 - Pharmaceutical Preparations

Industry Biotechnology & Medical Research

Sector Healthcare

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|--|---|-------------------|---|------------|--|--|-----------------|---------------|---|--------------------|----------------|---|---|---|--|--|
| Satlin Andro | ew | | | In | tra- | -Cellul | ar The | rapi | ies, I | nc. [17 | CI] | | p110 u 01 0) | 100 | 0 | |
| (Last | (Last) (First) (Middle) | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | Director X Officer (9) | Director10% Owner X Officer (give title below) Other (specify below) | | | |
| C/O INTRA | | | | ES, | | | 2/1 | 8/20 |)20 | | | EVP and Ch | | | ner (speerly) | ociowy |
| INC., 430 E | (Stre | | L I | 4.] | If Ar | nendmei | nt, Date (| Origin | nal Fi | led (MM/D | D/YYYY | 6. Individual | or Joint/G | roup Filing | (Check Appl | icable Line) |
| NEW YORI | X, NY 10 (Sta | |) | | | | | | | | | X _ Form filed b | y One Repor | | | |
| | | , | Table I - 1 | Non-Dei | ivat | ive Secu | ırities A | equir | ed, D | isposed o | of, or B | eneficially Own | ed | | | |
| 1.Title of Security (Instr. 3) 2. Trans. D | | | | rans. Date | Exect | | tion (Instr. 8) | | ode 4. Securities Acc or Disposed of ((Instr. 3, 4 and 5 | | | | nstr. 3 and 4) Form | | | 7. Nature of Indirect Beneficial Ownership |
| | | | | | | | Code | V | Amoi | (A) or (D) | Price | | | | | (Instr. 4) |
| | Tab | ole II - Deri | ivative Se | curities | Ben | eficially | Owned | (e.g., | puts | , calls, wa | arrants | , options, conve | rtible sec | urities) | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | Code | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 6. Date Exercisable and Expiration Date | | Securitie | s Underlying ve Security | 8. Price of Derivative Security (Instr. 5) | Securities Beneficially Owned | Form of Derivative Security: | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | V | (A) | (D) | Date Exerc | cisable | Expiration Date | Title | Amount or Number of Shares | | Following Reported Transaction(s) (Instr. 4) | Direct (D) or Indirect (I) (Instr. 4) | |
| Stock Option (right to buy) | \$23.94 | 2/18/2020 | | A | | 26754 | | 9 | (1) | 2/17/2030 | Commo Stock | 20/54 | \$0.00 | 26754 | D | |
| Restricted Stock Units | <u>(2)</u> | 2/18/2020 | | A | | 33417 | | j | (3) | <u>(3)</u> | Commo | | \$0.00 | 33417 | D | |

Explanation of Responses:

- (1) On February 18, 2020, the reporting person was granted options to purchase 26,754 shares of common stock, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock.
- (3) On February 18, 2020, the reporting person was granted 33,417 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------------------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| Satlin Andrew C/O INTRA-CELLULAR THERAPIES, INC. 430 EAST 29TH STREET NEW YORK, NY 10016 | | | EVP and Chief Medical Officer | • | | | |

Signatures

/s/ Lawrence J. Hineline, Attorney-in-fact 2/20/2020

***Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.