

## **FARO TECHNOLOGIES INC**

# Reported by MUHICH ALLEN L.

#### FORM 4

(Statement of Changes in Beneficial Ownership)

#### Filed 07/27/21 for the Period Ending 07/26/21

Address 125 TECHNOLOGY PARK

LAKE MARY, FL, 32746-6204

Telephone 4073339911

CIK 0000917491

Symbol FARO

SIC Code 3829 - Measuring and Controlling Devices, Not Elsewhere Classified

Industry Electronic Equipment & Parts

Sector Technology

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Is	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
MUHICH A	LLEN L.				FA	RO	TEC	CHNOLO	OG.	IES	INC [ ]	FARO	]	prieudie)			
(Last) (First) (Middle)				3. E	)ate	of Earl	iest Transa	ction	n (MM	/DD/YYYY	Director			Owner			
()	()		,										_X_ Officer (g		· —	her (specify	below)
C/O FARO T	<b>TECHNO</b>	LOGIES	S,					7/2	6/20	)21			Chief Financ	ciai Office	r		
INC., 250 TH	ECHNOL	OGY PA	ARK														
-	(Stree	et)			4. I	f Am	endme	nt, Date O	rigir	nal Fil	ed (MM/D	D/YYYY	6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
LAKE MAR	Y, FL 32	746											X Form filed				
(C	ity) (Stat	te) (Zip)	)										Form filed by	y More than (	One Reporting I	erson	
1.Title of Security		,						urities Aco	•	<del></del>	-		eneficially Own		aller Orem od	6.	7. Nature
(Instr. 3)				2. Trans. Da		Execution Date, if any		(Instr. 8)		4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)		D) ` ´		Securities Beneficially Owned ported Transaction(s)		Ownership o Form: B Direct (D) C	of Indirect Beneficial Ownership
								Code	V	Amo	(A) o unt (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock				7/26/2	2021			M <sup>(1)</sup>		314	7 <b>A</b>	\$0 <sup>(2)</sup>		6311		D	
Common Stock				7/26/2	2021			$\mathbf{F}^{(\underline{3})}$		102	2 D	\$73		5289		D	
	1					Bene		,	0 /				options, conve	1		T	Ī
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. De Executi Date, if	ion (	I. Trans. Code Instr. 8)	8) Deriv Acqu Dispo				Date Exercisable and Expiration Date			Underlying Derivativ Security Security		9. Number of derivative Securities Beneficially Owned Following	Ownership Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	Code	v	(A)	(D)	Date Exer	cisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect	
Restricted Stock Units	(2)	7/26/2021			M (1)			3147		<u>(4)</u>	(4)	Commo Stock	31470	\$0	3147	D	

#### **Explanation of Responses:**

- (1) Vesting of restricted stock units ("RSUs") previously granted to the Reporting Person.
- (2) Each RSU represents a contingent right to receive the economic equivalent of one share of common stock of FARO Technologies, Inc. (the "Issuer") upon vesting for no consideration.
- (3) Represents shares withheld by the Issuer to satisfy tax withholding obligations on the vesting of RSUs.
- (4) 3,147 of the shares underlying the RSUs vested on July 26, 2021, and the 3,147 remaining shares underlying the RSUs will vest on July 26, 2022.

Reporting Owners

Keporting Owners									
D	Relationships								
Reporting Owner Name / Address	Director	irector 10% Owner Officer		Other					
MUHICH ALLEN L.									
C/O FARO TECHNOLOGIES, INC.			Chief Financial Officer						
250 TECHNOLOGY PARK			Ciliei Filialiciai Officei						
LAKE MARY, FL 32746									

#### **Signatures**

/s/ Allen L. Muhich 7/27/2021

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.