

INTUITIVE SURGICAL INC

Reported by MOHR MARSHALL

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 07/27/21 for the Period Ending 07/26/21

Address 1020 KIFER ROAD

SUNNYVALE, CA, 94086

Telephone 4085232100

CIK 0001035267

Symbol ISRG

SIC Code 3842 - Orthopedic, Prosthetic, and Surgical Appliances and Supplies

Industry Advanced Medical Equipment & Technology

Sector Healthcare

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|---|--|--|---|--|--|---|-----------------|--|--|--|---|--|--|--|--|
| RSHALI | | | I | NTU | JITIV | E SUR | GI | CAL I | NC [] | [SRG] | (Check all app | onedoic) | | | |
|) (First | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | Director10% Owner X Officer (give title below) Other (specify below) | | | | |
| ROAD | | | | | | 7/2 | 26/2 | 2021 | | | | | ., | ier (speerly | 001011) |
| | eet) | | 4 | . If A | mendm | nent, Date | Orig | ginal Fil | led (MM/ | DD/YYYY) | 6. Individual | or Joint/G | roup Filing | (Check Appl | licable Line) |
| | |) | | | | | | | | | | | | 'erson | |
| · · · · · · · · · · · · · · · · · · · | | | - Non-Do | eriva | tive Se | curities A | cqui | ired, D | isposed | of, or Ber | neficially Owne | ed | | | |
| 1. Title of Security (Instr. 3) | | | rans. Date | e 2A. Deemed Execution Date, if any | | 3. Trans. Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | | | Ownership Form: Benefici Direct (D) Ownersh | Beneficial Ownership |
| | | | | | | Code | V | Amount | (A) or (D) | Price | | | | or Indirect (I) (Instr. 4) | (Instr. 4) |
| | | 7/2 | 26/2021 | | | M ⁽¹⁾ | | 6825 | | | | 6825 | | D | |
| | | 7/2 | 26/2021 | | | S ⁽¹⁾ | | 6825 | D S | 5963.3818 ⁽²⁾ | | | | | |
| | | | | | | | | | | | | 31904 | | I | by Trust |
| Tak | ole II - Deri | vative S | Securitie | s Ben | eficial | ly Owned | (e.g | z., puts, | , calls, v | varrants, o | options, conve | tible secu | ırities) | | |
| 2. Conversion or Exercise Price of Derivative | xercise e of vative | | n Code | le Deriv tr. 8) Acqu Dispo | | rative Securities a ired (A) or osed of (D) | | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | derivative Securities Beneficially Owned | Ownership Form of Derivative Security: | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Security | | | Cod | e V | (A) | (D) | | | | n Title | Amount or Number of Shares | | Reported | or Indirect | |
| \$171.3333 | 7/26/2021 | | M (1 | D | | 6825 | | (3) | 2/17/2025 | Common | 6825 | \$0.0 | 0 | D | |
| | RSHALI (First ROAD (Str. CA 94 City) (Str. CA 94 City) (Str. Ca 94 Conversion or Exercise Price of Derivative Security | RSHALL (First) (Mid ROAD (Street) LE, CA 94086 City) (State) (Zip) (State) II - Deri 2. Conversion or Exercise Price of Derivative Security | RSHALL (First) (Middle) ROAD (Street) LE, CA 94086 City) (State) (Zip) Table I 2. T 7/ 7/ Table II - Derivative Security Date Date Date Execution Date, if a | RSHALL I | Code V Code V Code Code | RSHALL (First) (Middle) 3. Date of Early (Street) 4. If Amendm (E, CA 94086 (Sity) (State) (Zip) Table I - Non-Derivative Securities Beneficial 7/26/2021 7/26/2021 Table II - Derivative Securities Beneficial 2. Conversion or Exercise Price of Derivative Security Security Code V (A) | INTUITIVE SURGE | INTUITIVE SURGIO 3. Date of Earliest Transact 3. Date of Earliest Transact 4. If Amendment, Date Original 5. Date 5. Date 5. Number of Original 5. Number of Derivative Security 5. Number of Date, if any 5. Number of Date, if any 5. Number of Derivative Security 5. Number of Derivative Security 5. Number of Disposed of (D) (Instr. 8, 4 and 5) 5. Number of Derivative Security 5. Number of Derivative Securities 5. Number o | RSHALL (First) (Middle) 3. Date of Earliest Transaction (MM 7/26/2021 (Street) (Street) 4. If Amendment, Date Original File (Street) Table I - Non-Derivative Securities Acquired, D 2. Trans. Date Execution Date, if any (Instr. 8) Code V Amount 7/26/2021 Still 6825 Table II - Derivative Securities Beneficially Owned (e.g., puts, or Exercise Price of Derivative Securities Date Security Code V (A) Date Date Exercisable Code V (A) Date Date Exercisable | RSHALL (First) (Middle) 3. Date of Earliest Transaction (MM/DD/YYY ROAD (Street) 4. If Amendment, Date Original Filed (MM/ (E., CA 94086 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed 2. Trans. Date Execution Date, if any (Instr. 8) (Instr. 8) (A) or (D) (A) or (D) (A) or (D) (A) or (D) (Code V Amount (A) or (D) (Code V Amount (A) or (D) (Code V Amount (A) or (D) (Instr. 3, 4 and 5) (Instr. 8) (A) Date Exercisable Execution Date, if any (Instr. 3, 4 and 5) (Code V (A) (D) (Date Exercisable Expiration Date Exercisable Date (Instr. 8) (Code V (A) (D) (Date Exercisable Expiration Date (Exercisable Date Exercisable Date (Instr. 3, 4 and 5) | INTUITIVE SURGICAL INC [ISRG] 3. Date of Earliest Transaction (MM/DD/YYYY) 4. If Amendment, Date Original Filed (MM/DD/YYYY) 5. CA 94086 City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Ber Execution Date, if any Table II - Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, or Exercise of Execution Date, if any Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, or Exercise Price of Derivative Securities Code V (A) (D) Date Expiration Date Securities Derivative Code Unstr. 3, 4 and 5) Title and Expiration Date Date Expiration Date Code V (A) (D) Date Expiration Date Title St71 3333 7/26/2021 M(L) Common | RSHALL INTUITIVE SURGICAL INC [ISRG] Officer (gi Executive VF (Street) (Street) (Street) (State) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Instr. 8) (Instr. 8) (Instr. 3) (A) or Code V Amount (Op) (Instr. 3, 4 and 5) Table II - Derivative Securities Beneficially Owned (Code V Amount (Dp) (Instr. 3, 4 and 5) (Code V Amount (Dp) (Instr. 3, 4 and 5) (Instr. 8) (Instr. 3) (Instr | Check all applicable) INTUITIVE SURGICAL INC [ISRG] Director X_Officer (give title below Executive VP & CFO (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) (State) (State) 4. If Amendment, Date Original Filed (MM/DD/YYYY) (State) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Trans. Date Execution Date, if any (Instr. 8) (Instr. 8) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3 and 4) Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible security (Instr. 3) 2. Coversion or Exercise Price of Date, if any Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible security (Instr. 3) 2. Coversion Date or Exercise Price of Date, if any Date Coversion Date, if any (Instr. 8) Date Coversion Date, if any Date Date Exercisable Date Coversion Date, if any Date Exercise Derivative Security (Instr. 3) Date Coversion Date Coversion Date, if any Date Date Exercise Derivative Security (Instr. 5) Date Coversion Da | Check all applicable Director 10% X. Officer (give title below) 0 de Executive VP & CFO Check all applicable Director 10% X. Officer (give title below) 0 de Executive VP & CFO Check all applicable 2. Officer (give title below) 0 de Executive VP & CFO Check all applicable 2. Officer (give title below) 0 de Executive VP & CFO Check all applicable 2. Officer (give title below) 0 de Executive VP & CFO Check all applicable 2. Officer (give title below) 0 de Executive VP & CFO Check all applicable 2. Officer (give title below) 0 de Executive VP & CFO Check all applicable 2. Officer (give title below) 0 de 2. Officer (give title belo | Check all applicable Check applicable Check all applicable C |

Explanation of Responses:

- (1) These shares were exercised and sold in accordance with a Trading Plan that complies with SEC Rule 10b5-1 and expires on December 2, 2021.
- (2) The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$955.71 to \$971.10, inclusive. The Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- (3) Non-statutory stock option granted pursuant to the 2010 Incentive Award Plan. The option vests 1/8th six months after the date of grant and 1/48th monthly thereafter.

Reporting Owners

| Danartina Oryman Nama / Address | Relationships | | | | | | | |
|---------------------------------|---------------|-----------|--------------------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| MOHR MARSHALL | | | | | | | | |
| 1020 KIFER ROAD | | | Executive VP & CFO | | | | | |
| SUNNYVALE, CA 94086 | | | | | | | | |

Signatures

By: Donna Spinola For: Marshall L Mohr

Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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