

## **UNIVERSAL CORP /VA/**

# Reported by **HENTSCHKE AIRTON L**

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 05/30/23 for the Period Ending 05/25/23

Address 9201 FOREST HILL AVENUE

STONY POINT II BUILDING

RICHMOND, VA, 23235

Telephone 8043599311

CIK 0000102037

Symbol UVV

SIC Code 5150 - Wholesale-Farm Product Raw Materials

Industry Tobacco

Sector Consumer Non-Cyclicals

Fiscal Year 03/31



☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. ]	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Hentschke Airton L					UNIVERSAL CORP /VA/ [ UVV ]								эрпецоте)	100		
(Last) (First) (Middle)				3. ]	3. Date of Earliest Transaction (MM/DD/YYYY)						Director  X Officer (	give title belov		Owner her (specify)	helow)	
9201 FOREST HILL AVENUE					5/25/2023							Senior VP &		v)0i	ici (specify)	ociow)
(Street)				4. ]	4. If Amendment, Date Original Filed (MM/DD/YYYY)							YY) 6. Individua	6. Individual or Joint/Group Filing (Check Applicable Line)			
RICHMOND, VA 23235													X _ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(Ci	ity) (Stat	e) (Zip	p)	Ru	le 10	b5-1(c)	Transactio	on Ir	ndication	on		·				
												as made pursuant conditions of Rule				en plan
			Table I	- Non-Der	ivati	ve Secu	ırities Acc	quire	ed, Dis	posed of	f, or 1	Beneficially Owi	ied			
1. Title of Security (Instr. 3) 2. Trans. E				2. Trans. Date	Date 2A. Deemed Execution Date, if any		3. Trans. Co. (Instr. 8)	de	4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)				ollowing Reported Transaction(s)  Ownership Form: Bene Direct (D)  Ownership Of Inc.		Beneficial Ownership	
							Code	V	Amour	(A) or (D)	Pric	e			or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock 5/25/202				5/25/2023			F		5,363	<u>D</u>	\$53.0	57		91,232 (2)	D	
	Tabl	le II - Der	ivative	Securities	Bene	ficially	Owned (a	e.g.,	puts, c	calls, wa	rran	ts, options, conv	ertible secu	ırities)		
		n (Instr. 8)					and Expiration Date Sec De (In			e and Amount of ities Underlying ative Security 3 and 4)	Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Ownership Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	V	(A)	(D)	Date Exe	e rcisable	Expiration Date	Title	Amount or Number of Shares	Ī	Transaction(s) (Instr. 4)	(I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) Shares of common stock surrendered to the Company to satisfy the withholding taxes relating to previously granted restricted stock units that vested on May 25, 2023
- (2) Includes 34,700 restricted stock units and 5,710 dividend equivalent units earned on the restricted stock units.

#### Reporting Owners

<u> </u>							
Paparting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	rector 10% Owner Officer		Other			
Hentschke Airton L							
9201 FOREST HILL AVENUE			Senior VP & COO				
RICHMOND, VA 23235							

#### **Signatures**

/s/ Preston D. Wigner, attorney-in-fact	5/30/2023		
**Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.