

# NEURONETICS, INC. Reported by CASCELLA ROBERT

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 05/30/23 for the Period Ending 05/25/23

Address 3222 PHOENIXVILLE PIKE

**MALVERN**, **PA**, 19355

Telephone 877-600-7555

CIK 0001227636

Symbol STIM

Fiscal Year 12/31





☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
CASCELLA ROBERT		]	Neur	onetics	, Inc. [ S	STI	<b>M</b> ]			X Director		100	6 Owner		
(Last) (First)	t) (Middle)			3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)				
C/O NEURONETICS, IN PHOENIXVILLE PIKE	IC, 3222				5/25	5/20	)23								
(Street)		2	I. If A	mendmei	nt, Date O	rigin	nal File	d (MM/DI	D/YYY	Y) 6. Individual	or Joint/G	roup Filing	Check Appl	icable Line)	
MALVERN, PA 19355										X Form filed b	y One Repor More than (	ting Person One Reporting P	'erson		
(City) (State)	(Zip)	]	Rule 1	0b5-1(c)	Transactio	on In	ndicatio	on							
										s made pursuant to onditions of Rule				en plan	
	Table 1	<u> </u>								Beneficially Owne					
Title of Security Str. 3)		Exe	Deemed ecution ee, if any	3. Trans. Co. (Instr. 8)	de	4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securit Following Reported (Instr. 3 and 4)	ties Beneficially Owned Transaction(s)		Ownership Form: Ben Direct (D) Ow	Beneficial Ownership			
					Code	V	Amou	(A) o	r Pri	ee			or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock		5/25/2023			A		45,455	( <u>1</u> ) A	. !	<u>2)</u>		134,348	D		
Table II	- Derivative	Securiti	es Ben	neficially	Owned (a	e.g.,	puts, o	calls, wa	rrant	s, options, conver	tible secu	ırities)			
1. Title of Derivate Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  3. Tr. Date Onterval to the price of Derivative Security		on (Instr.	Acquir Dispos		er of re Securities (A) or of (D) 4 and 5)	and Expiration Date			Securities Underlying Derivative Security		Derivative Security (Instr. 5) B O	9. Number of derivative Securities Beneficially Owned Following Reported	Ownership Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
		Coo	le V	(A)	(D)	Date	e rcisable	Expiration Date		Amount or Number of Shares		Transaction(s) (Instr. 4)			

#### **Explanation of Responses:**

- (1) Represents a restricted stock unit ("RSU") award that vests on the earlier of (a) May 23, 2024, or (b) the reporting person's Board-approved separation of service from the Issuer, in each case subject to continuous service of the reporting person through such date.
- (2) Each RSU represents a contingent right to receive one share of the Issuer's common stock.

#### Reporting Owners

Keporting Owners							
Paparting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	ips Officer	Other			
CASCELLA ROBERT C/O NEURONETICS, INC 3222 PHOENIXVILLE PIKE MALVERN, PA 19355	X						

#### **Signatures**

/s/ W. Andrew Macan as Attorney-in-Fact 5/30/2023

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

