

Reported by FORMACEK CANDACE C

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 05/30/23 for the Period Ending 05/25/23

Address 9201 FOREST HILL AVENUE

STONY POINT II BUILDING

RICHMOND, VA, 23235

Telephone 8043599311

CIK 0000102037

Symbol UVV

SIC Code 5150 - Wholesale-Farm Product Raw Materials

Industry Tobacco

Sector Consumer Non-Cyclicals

Fiscal Year 03/31



☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|--------|----------------------|-------------|-----------|--|----------|--|-------|---|----------------------|---|---|--|--|--|----------------------------------|--|
| Formacek Candace C | | | | UN | UNIVERSAL CORP /VA/ [UVV] | | | | | | | | , | | | | |
| (Last) (First) (Middle) | | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | Director | | | Owner | | | |
| | | | | | | | | | | | | X_ Officer (give title below) Other (specify below) Vice President & Treasurer | | | | | |
| 9201 FOREST HILL AVENUE | | | | | 5/25/2023 | | | | | | | vice i residen | t & Trea | surci | | | |
| | (Stree | et) | | 4. I | f Am | nendmei | nt, Date O | rigir | al File | d (MM/DI | D/YYY | YY) | 6. Individual o | r Joint/G | oup Filing (| Check Appl | icable Line) |
| RICHMOND, VA 23235 | | | | | | | | | | | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) (State) (Zip) | | | | Ru | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | |
| | | | | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | |
| | | | Table I - N | lon-Der | ivati | ve Secu | ırities Acc | γuir | ed, Dis | sposed of | f, or | Bene | eficially Owne | d | | | |
| 1.Title of Security (Instr. 3) 2. Trans. E | | | | | ate 2A. Deemed Execution Date, if any | | 3. Trans. Co. (Instr. 8) | de | 4. Securities Acquir or Disposed of (D) (Instr. 3, 4 and 5) | | | Fo | Amount of Securition Securities (1997) Amount of Securitie | ties Beneficially Owned Transaction(s) | | Direct (D) Ownership | of Indirect Beneficial Ownership |
| | | | | | | | Code | V | Amour | (A) or (D) | Pric | ce | | | | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Common Stock 5/25/202 | | | | 5/2023 | | | F | | 1,428 | <u>D</u> | \$53.0 | 67 | | | 25,078 ⁽²⁾ | D | |
| | Tabl | le II - Der | ivative Sec | urities] | Bene | ficially | Owned (a | e.g., | puts, | calls, wa | rran | ts, op | ptions, conver | tible secu | rities) | | |
| | | 4. Trans. (Instr. 8) | Code | | ve Securities I (A) or I of (D) | and | 6. Date Exercisable and Expiration Date Date Expiration | | | rities U vative S | Inderlying Security | Derivative Security | 9. Number of derivative Securities Beneficially Owned Following Reported | Derivative Security: Direct (D) or Indirect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code | V | (A) | (D) | | rcisable | | Title | Share | | | Transaction(s) (Instr. 4) | (1) (Instr. 4) | |

Explanation of Responses:

- (1) Shares of common stock surrendered to the Company to satisfy the withholding taxes relating to previously granted restricted stock units that vested on May 25, 2023
- (2) Includes 8,600 restricted stock units and 1,415 dividend equivalent units earned on the restricted stock units.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-------------------------|---------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| Formacek Candace C | | | | | | | |
| 9201 FOREST HILL AVENUE | | Vice President & Treasu | | | | | |
| RICHMOND, VA 23235 | | | | | | | |

Signatures

| /s/ Preston D. Wigner, attorney-in-fact | 5/30/2023 | | |
|---|-----------|--|--|
| **Signature of Reporting Person | Date | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.