

# ALLAKOS INC. Reported by ANDREATTA ROBERT E

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 05/30/23 for the Period Ending 05/25/23

Address 825 INDUSTRIAL ROAD

SUITE 500

SAN CARLOS, CA, 94070

Telephone 650-597-5002

CIK 0001564824

Symbol ALLK

Fiscal Year 12/31





☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Andreatta Robert E					Allakos Inc. [ ALLK ]											
				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							X_ Director 10% Owner				
												Officer (give title below) Other (specify below)				
C/O ALLAKOS INC., 825					5/25/2023											
INDUSTRIA	AL ROAL	D, SUITI	E <b>500</b>													
	(Stre	eet)		4. I	f Ar	nendmen	t, Date C	rigina	al Fil	led (MM/D	D/YYYY)	6. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)
SAN CARLOS, CA 94070												X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Eip)				Ru	Rule 10b5-1(c) Transaction Indication											
					☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan											
				tha	t is i	ntended t	o satisfy	the a	ffirm	ative def	ense cond	itions of Rule	10b5-1(c).	See Instruc	tion 10.	•
			Table I - 1	Non-Der	ivat	ive Secui	ities Ac	quire	d, D	isposed o	f, or Bene	eficially Owne	d			
1. Title of Security (Instr. 3)			Trans. Date			. Trans. Co Instr. 8)	4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)		) Fo	Amount of Securiti following Reported Testr. 3 and 4)	ties Beneficially Owned Transaction(s)		Ownership of I Form: Ber	7. Nature of Indirect Beneficial Ownership		
				Code V Amount (A) or (D) Price					or Indirect (I) (Instr. 4) (Instr. 4)							
	Tab	ole II - Der	ivative Se	curities	Ben	eficially (	Owned (	e.g.,	puts,	calls, wa	rrants, o	ptions, conver	tible secu	rities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	d 4. Trans. Code (Instr. 8)		5. Number Derivative Acquired (ADisposed of (Instr. 3, 4)	Securities A) or f (D)		6. Date Exercisable and Expiration Date		7. Title and 2 Securities U Derivative S (Instr. 3 and	nderlying Security	Derivative Security	9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	V	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect	
Stock Option (Right to buy)	\$4.81	5/25/2023		A		86,70	52	(1	<u>1)</u>	5/25/2033	Common Stock	86,762	\$0	86,762	D	

#### **Explanation of Responses:**

(1) The option vests as to 100% of the shares on the earlier of (i) the one-year anniversary of the date of grant or (ii) the date of the Issuer's next Annual Meeting of stockholders that occurs following the grant, subject to the Reporting Person continuing as a service provider through each such date.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	1	Other			
Andreatta Robert E							
C/O ALLAKOS INC.	X						
825 INDUSTRIAL ROAD, SUITE 500	21						
SAN CARLOS, CA 94070							

#### **Signatures**

/s/ Adam Tomasi, by power of attorney 5/30/2023

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.