

# NATERA, INC. Reported by BROPHY MICHAEL BURKES

## FORM 4

(Statement of Changes in Beneficial Ownership)

# Filed 04/26/24 for the Period Ending 04/24/24

Address 13011 MCCALLEN PASS

**BUILDING A SUITE 100** 

**AUSTIN, TX, 78753** 

Telephone 650-249-9090

CIK 0001604821

Symbol NTRA

SIC Code 8071 - Services-Medical Laboratories

Industry Healthcare Facilities & Services

Sector Healthcare

Fiscal Year 12/31

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

☑ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

OMB APPROVAL

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person *  Brophy Michael Burkes |     |             |             |                                 | Issue   | r Name   | and Tick                     | er oi  | r Tradin  | g Symb  |            | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)                             |  |  |            |                         |
|--|-----|-------------|-------------|---------------------------------|---|--|------------------------------|--|---|---|------------|---|--|--|------------|-------------------------|
|  |     |             |             |                                 | Natera, Inc. [ NTRA ]                             |  |                              |  |   |   |            | Director  | ,  | 100/                                   | Owner      |                         |
| (Last) (First) (Middle)  |     |             |             | 3.                              | 3. Date of Earliest Transaction (MM/DD/YYYY)      |  |                              |  |   |   |            |   | Director 10% Owner  X Officer (give title below) Other (specify below)   |  |            |                         |
| C/O NATERA, INC., 13011<br>MCCALLEN PASS BUILDING A<br>SUITE 100 |     |             |             |                                 |   |  | 4/2                          | 4/2  | 024   |   | CHIEF FINA | CHIEF FINANCIAL OFFICER   |  |  |            |                         |
| (Street)   |     |             |             | 4.                              | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |  |                              |  |   |   |            | 6. Individual or Joint/Group Filing (Check Applicable Line)   |  |  |            |                         |
| AUSTIN, TX 78753 (City) (State) (Zip)                            |     |             |             |                                 | -   |  |                              |  |   |   |            |   | _X _ Form filed by One Reporting Person Form filed by More than One Reporting Person                                       |  |            |                         |
| ,  |     |             | <u> </u>    | - Non-De                        | rivati  | ive Sec  | urities Ac                   | quir   | ed, Dis   | posed o   | of, or B   | eneficially Owne  | ed   |  |            |                         |
| 1. Title of Security<br>(Instr. 3)                               |     |             | 2.          | . Trans. Date                   | 2A. D<br>Execu<br>Date,                           |  | 3. Trans. Code<br>(Instr. 8) |  | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |            | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) |  |  |            | Beneficial<br>Ownership |
| Common Stock   |     |             |             | 4/24/2024                       |   |  | s                            |  | 937 (1)   | D   | \$92.999   | 1   |  | 72,102                                 | D          |                         |
| Common Stock   |     |             |             | 4/25/2024                       |   |  | s                            |  | 705 ( <u>1</u> )  | D   | \$89.5     | 71,397  |  | D                                      |            |                         |
| Common Stock 4/20  |     |             |             | 4/26/2024                       | 6/2024  |  | s                            |  | 751 <sup>(1)</sup>  | D   | \$92       | 70,6  |  | 70,646                                 | D          |                         |
|  | Tab | le II - Der | ivative     | Securities                      | Bene  | eficially  | y Owned (                    | e.g.,  | , puts, c   | alls, wa  | arrants    | , options, conve  | tible secu   | ırities)                               | !          | !                       |
|  |     |             | n (Instr. 8 | Acquire<br>Dispose<br>(Instr. 3 |   | tive Securities and (A) or ed of (D) 3, 4 and 5) |                              | Date Exerci<br>1 Expiration<br>te<br>te<br>ercisable | n Date  Expiration  | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)  Title Amount or Number of Shares |            | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Security:<br>Direct (D)<br>or Indirect | Beneficial |                         |

#### **Explanation of Responses:**

(1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 14, 2023.

**Reporting Owners** 

| Reporting Owner Name / Address           | Relationships |           |                         |       |  |  |  |  |
|--|---------------|-----------|-------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address           | Director      | 10% Owner | Officer                 | Other |  |  |  |  |
| Brophy Michael Burkes                    |               |           |                         |       |  |  |  |  |
| C/O NATERA, INC.                         |               |           | CHIEF FINANCIAL OFFICED |       |  |  |  |  |
| 13011 MCCALLEN PASS BUILDING A SUITE 100 |               |           | CHIEF FINANCIAL OFFICER |       |  |  |  |  |
| AUSTIN, TX 78753                         |               |           |                         |       |  |  |  |  |

#### **Signatures**

/s/ Tami Chen, Attorney-in-Fact

4/26/2024

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.