

HILLTOP HOLDINGS INC.

Reported by **DIAMOND HTH STOCK CO GP, LLC**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 07/02/24 for the Period Ending 06/30/24

Address 6565 HILLCREST AVE.

DALLAS, TX, 75205

Telephone 214.855.2177

CIK 0001265131

Symbol HTH

Fiscal Year 12/31



FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
FORD GERALD J				F	Hilltop Holdings Inc. [HTH]								псавте)	v	100/ 0		
(Last)	(Last) (First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)							X Director Officer (given	e title below		10% Owner Other (specif	y below)	
6565 HILLCREST AVENUE					6/30/2024												
(Street)				4	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)					
DALLAS, TX 75205 (City) (State) (Zip)													Form filed by One Reporting Person X Form filed by More than One Reporting Person				
(-	,, (<u> </u>		on-D	erivati	ve Sec	curities A	Acau	ired. D	isposed	d of. o	r B	eneficially Owne	d			
1. Title of Security (Instr. 3) 2. Trans. Dat				2A. Dee Execution Date, if	med 3	3. Trans. Code (Instr. 8)		4. Securities Acquired (A) Disposed of (D) (Instr. 3, 4 and 5)					ies Beneficially Owned			Beneficial Ownership	
							Code	V	Amount	(A) or (D)	Pric	ce				or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock			6/30/2	024			A		1,816 (1)	A	\$29.58	39 <mark>(2)</mark>			320,183.172	D (3)(4)(5) (6)	
Common Stock			6/30/2	024			G		1,816 (7)	D		\$0			318,367.172	D (3)(4)(5) (6)	
Common Stock			6/30/2	024			G		1,816	A		\$0			93,064	I	See Footnotes (3) (4)(5)(6)(8)
Common Stock															15,544,674	I	See Footnotes (3) (4)(5)(6)(9)
	Tab	le II - Deri	ivative Sec	uritie	s Bene	ficiall	y Owned	l (e.	g., puts,	calls,	warra	ants	, options, conver	tible secu	ırities)		
		4. Tran (Instr.	ns. Code 8)	Derivat Acquire Dispose			nd Expiration Date			curiti erivat	and Amount of es Underlying ive Security and 4)	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned Following	Ownersh Form of Derivativ Security Direct (I	(Instr. 4)		
				Code	e V	(A)	(D)		Date Exercisable	Expira Date	tion Tit		Amount or Number of Thares		Reported Transaction (Instr. 4)	or Indire (I) (Instr 4)	

Explanation of Responses:

- (1) Represents shares of common stock of Hilltop Holdings Inc. (the "Issuer"), par value \$0.01 per share ("Shares"), granted to Gerald J. Ford under the Hilltop Holdings Inc. 2020 Equity Incentive Plan (the "2020 EIP"), as compensation for services rendered as a director of the Issuer in the second calendar quarter of 2024.
- (2) Price per share calculated using the average closing price per share for the period from June 14, 2024 to June 28, 2024.
- (3) This statement is jointly filed by and on behalf of each of Mr. Ford, Diamond HTH Stock Company, LP ("Diamond HTH LP"), Diamond HTH Stock Company GP, LLC ("Diamond HTH LLC"), and Turtle Creek Revocable Trust (the "Trust"). Mr. Ford, Diamond A Financial, L.P. ("Diamond A") and the Trust are the direct beneficial owners of the securities covered by this statement.
- (4) Diamond HTH LP is the general partner of, and may be deemed to beneficially own certain securities owned by, Diamond A. Diamond HTH LLC is the general partner of, and may be deemed to beneficially own certain securities owned by, Diamond HTH LP. Mr. Ford is the sole member of, and may be deemed to beneficially own certain securities owned by, Diamond HTH LLC. Mr. Ford is the grantor and trustee of, and may be deemed to beneficially own certain securities owned by, the Trust.
- (5) The reporting persons state that neither the filing of this statement nor anything herein shall be deemed an admission that such persons are, for purposes of

- Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act") or otherwise, the beneficial owners of any securities covered by this statement. The reporting persons disclaim beneficial ownership of the securities covered by this statement, except to the extent of the pecuniary interest of such persons in such securities.
- (6) The reporting persons may be deemed to be a member of a group with respect to the Issuer or securities of the Issuer for purposes of Section 13(d) or 13(g) of the Exchange Act. The reporting persons declare that neither the filing of this statement nor anything herein shall be construed as an admission that such persons are, for the purposes of Section 13(d) or 13(g) of the Exchange Act or any other purpose, a member of a group with respect to the Issuer or securities of the Issuer.
- (7) In connection with Mr. Ford's receipt of Shares under the 2020 EIP as compensation for services rendered as a director of the Issuer in the second quarter of 2024, Mr. Ford subsequently gifted these Shares to the Trust, which is a revocable trust of Mr. Ford established for the benefit of the members of his family.
- (8) Represents Shares directly beneficially owned by the Trust.
- (9) Represents Shares directly beneficially owned by Diamond A.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
FORD GERALD J								
6565 HILLCREST AVENUE	X	X						
DALLAS, TX 75205								
Diamond HTH Stock Co GP, LLC								
6565 HILLCREST AVENUE		X						
DALLAS, TX 75205								
Diamond HTH Stock Company, LP								
6565 HILLCREST AVENUE		X						
DALLAS, TX 75205								
Turtle Creek Revocable Trust								
6565 HILLCREST AVENUE		X						
DALLAS, TX 75205								

Signatures

/s/ Gerald J. Ford	7/2/2024				
**Signature of Reporting Person					
DIAMOND HTH STOCK COMPANY GP, LLC By: /s/ Gerald J. Ford Title: Sole Member					
**Signature of Reporting Person					
DIAMOND HTH STOCK COMPANY, LP By: Diamond HTH Stock Company GP, LLC Its: General Partner By: /s/ Gerald J. Ford Title: Sole Member					
**Signature of Reporting Person	Date				
TURTLE CREEK REVOCABLE TRUST By: /s/ Gerald J. Ford Title: Trustee					
**Signature of Reporting Person	Date				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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