

# **AXOS FINANCIAL, INC.**

Reported by  
**CONSTANTINE THOMAS M**

## **FORM 144**

(Report of proposed sale of securities)

Filed 11/06/24

Address	9205 WEST RUSSELL ROAD SUITE #400 LAS VEGAS, NV, 89148
Telephone	(858) 649-2218
CIK	0001299709
Symbol	AX
SIC Code	6035 - Savings Institution, Federally Chartered
Industry	Banks
Sector	Financials
Fiscal Year	06/30

144: Issuer Information

Name of Issuer	Axos Financial, Inc.
SEC File Number	001-37709
Address of Issuer	9205 WEST RUSSELL ROAD SUITE #400 LAS VEGAS NEVADA 89148
Phone	(858) 649-2218
Name of Person for Whose Account the Securities are To Be Sold	Constantine Thomas M
See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.	
Relationship to Issuer	1. Officer

144: Securities Information

Record	Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name the Securities Exchange
#1	Common	Morgan Stanley Smith Barney LLC Executive Services 200 W Civic Center Dr - 4th Floor Sandy UTAH 84070	4,555	\$358,751.80	57,100,000	11/06/2024	NYSE

144: Securities To Be Sold

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Record	Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired	Is this a Gift ?	Date Donor Acquired	Amount of Securities Acquired	Date of Payment	Nature of Payment *
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#1	COMMON	08/31/2023	RESTRICTED STOCK	ISSUER	<input type="checkbox"/>	—	1,083	08/31/2023	COMPENSATION
#2	COMMON	08/31/2022	RESTRICTED STOCK	ISSUER	<input type="checkbox"/>	—	1,083	08/31/2022	COMPENSATION
#3	COMMON	08/31/2021	RESTRICTED STOCK	ISSUER	<input type="checkbox"/>	—	1,106	08/31/2021	COMPENSATION
#4	COMMON	03/12/2022	RESTRICTED STOCK	ISSUER	<input type="checkbox"/>	—	1,283	03/12/2022	COMPENSATION

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

### 144: Securities Sold During The Past 3 Months

Nothing to Report

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### 144: Remarks and Signature

Remarks

Date of Notice

11/06/2024

**ATTENTION:**

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature

/s/ Thomas M Constantine

**ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)**