

# SOCKET MOBILE, INC.

Reported by

## BASS CHARLIE

# **FORM 4**

(Statement of Changes in Beneficial Ownership)

Filed 11/20/24 for the Period Ending 11/19/24

Address 40675 ENCYCLOPEDIA CIRCLE  
FREMONT, CA, 94538-2475

Telephone 5109333000

CIK 0000944075

Symbol SCKT

SIC Code 3571 - Electronic Computers

Industry Electronic Equipment & Parts

Sector Technology

Fiscal Year 12/31

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See Instruction 1(b).*

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

OMB APPROVAL  
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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |         |          |   |  |  |  |  |  |  |  |
|--|---------|----------|---|--|--|--|--|--|--|--|
| 1. Name and Address of Reporting Person <sup>*</sup> |         |          | 2. Issuer Name and Ticker or Trading Symbol       |  |  |  |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)   |  |  |
| <b>BASS CHARLIE</b>                                  |         |          | <b>SOCKET MOBILE, INC. [ SCKT ]</b>               |  |  |  |  | <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) |  |  |
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction (MM/DD/YYYY)      |  |  |  |  |  |  |  |
| <b>40675 ENCYCLOPEDIA CIR.</b>                       |         |          | <b>11/19/2024</b>                                 |  |  |  |  |  |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |  |  |  |  | 6. Individual or Joint/Group Filing (Check Applicable Line)  |  |  |
| <b>FREMONT, CA 94538</b>                             |         |          |   |  |  |  |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person  |  |  |
| (City)   | (State) | (Zip)    |   |  |  |  |  |  |  |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security<br>(Instr. 3) | 2. Trans. Date | 2A. Deemed<br>Execution<br>Date, if any | 3. Trans. Code<br>(Instr. 8) |   | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) |               | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr.<br>4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|------------------------------------|----------------|---|------------------------------|---|---|---------------|---|--|---|
|                                    |                |   | Code                         | V | Amount  | (A) or<br>(D) |   |  |   |
| Common Stock                       | 11/19/2024     |   | P                            |   | 5,000   | A             | \$1,3992  | 1,556,651  | D   |
| Common Stock                       | 11/20/2024     |   | P                            |   | 5,000   | A             | \$1,4297  | 1,561,651  | D   |

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivate<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Trans.<br>Date | 3A. Deemed<br>Execution<br>Date, if any | 4. Trans. Code<br>(Instr. 8) |   | 5. Number of<br>Derivative Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |     | 6. Date Exercisable<br>and Expiration Date |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                               | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I) (Instr.<br>4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--|---|-------------------|---|------------------------------|---|--|-----|--|--------------------|--|-------------------------------|---|--|--|--|
|  |   |                   |   | Code                         | V | (A)  | (D) | Date<br>Exercisable                        | Expiration<br>Date | Title  | Amount or Number of<br>Shares |   |  |  |  |
|  |   |                   |   |                              |   |  |     |  |                    |  |                               |   |  |  |  |

#### Explanation of Responses:

#### Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| <b>BASS CHARLIE</b><br><b>40675 ENCYCLOPEDIA CIR.</b><br><b>FREMONT, CA 94538</b> | X             | X         |         |       |

#### Signatures

/s/ Charlie Bass

11/20/2024

Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

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