

VIRTU FINANCIAL, INC.

Reported by **LEE CINDY**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 02/04/25 for the Period Ending 02/02/25

Address 1633 BROADWAY

NEW YORK, NY, 10019

Telephone 212-418-0100

CIK 0001592386

Symbol VIRT

SIC Code 6211 - Security Brokers, Dealers and Flotation Companies

Industry Investment Banking & Brokerage Services

Sector Financials

Fiscal Year 12/31

FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.]	2. Issuer Name and Ticker or Trading Symbol							ol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Lee Cindy				Vi	Virtu Financial, Inc. [VIRT]									Director	,	100	% Owner	
(Last) (First) (Middle)				3.]	3. Date of Earliest Transaction (MM/DD/YYYY)								X_ Officer (give title below) Other (specify below)					
1633 BROADWAY, 41ST FLOOR					2/2/2025								(Chief Financial Officer				
	(Stree			4.]	[f Ar	nendme	ent, Date C	rigin	al Fil	led (M	M/DI	D/YYYY) 6	6. Individual	or Joint/C	Group Filing	(Check App	licable Line)
NEW YORK	K, NY 100	19												X _ Form filed by		orting Person One Reporting	Person	
(C	ity) (Stat	te) (Zip)											r orm med by	TVIOTE than	One reporting	CISON	
			Table I - N	Non-Der	ivat	ive Sec	urities Ac	guire	ed, D	ispose	ed of	f, or Be	enef	ficially Owne	ed			
			rans. Date			3. Trans. Code (Instr. 8)		4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)			Foll	Instr. 3 and 4) Form: Direct (D)		7. Nature of Indirect Beneficial Ownership				
							Code	V	Amo		A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)
Class A common stock 2/2/202							M		2,7		A	<u>(1)</u>				6,525	+	
Class A common sto	ock		2/	/2/2025			F		1,1	.08	D	(2)				5,417	D	
	Tab	le II - Deri	ivative Sec	curities	Ben	eficially	y Owned (e.g.,	puts,	, calls,	, wa	rrants,	, op	tions, conve	rtible sec	curities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any			Acquire Dispose	ve Securities and (A) or		5. Date Exercisable and Expiration Date		: : 	7. Title and Ar Securities Und Derivative Sec (Instr. 3 and 4)		derlying curity		9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D)	Beneficial
	Security			Code	v	(A)	(D)	Date Exerc	eisable	Expirat Date	tion ,	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect	
Restricted Stock Unit	(3)	2/2/2025		М			2,749	١	<u>(4)</u>	(4)		Class commo	n	2,749	\$0	55,294	D	
Non-voting common interest units of Virtu Financial LLC	(5)							ſ	(<u>5)</u>	<u>(5)</u>		Class commo	n	4,760		4,760	I	See footnote (6)

Explanation of Responses:

- (1) Shares of Class A common stock issued in settlement of vested restricted stock units ("RSUs") granted under the Issuer's Amended and Restated 2015 Management Incentive Plan.
- (2) Shares of Class A common stock withheld for tax by the Issuer in relation to the settlement of vested RSUs in accordance with the Issuer's Amended and Restated 2015 Management Incentive Plan.
- (3) Each RSU is granted under the Issuer's Amended and Restated 2015 Management Incentive Plan and represents a contingent right to receive one share of Class A common stock of the Issuer.
- (4) The RSUs vested February 1, 2024.
- (5) Pursuant to the terms of the Exchange Agreement, effective as of April 15, 2015, by and among the Issuer, Virtu Financial LLC and the equityholders of Virtu Financial LLC (the "Exchange Agreement"), Virtu Financial Units, together with a corresponding number of shares of Class C Common Stock, may be exchanged for shares of Class A Common Stock, which have one vote per share and economic rights (including rights to dividends and distributions upon liquidation), on a one-for-one basis at the discretion of the holder. The exchange rights under the Exchange Agreement do not expire.
- (6) By Virtu Employee Holdco LLC, a holding vehicle through which employees and directors of the Issuer hold vested and unvested Virtu Financial Units and

shares of Class C Common Stock. The reporting person disclaims beneficial ownership in such Virtu Financial Units and shares held by Virtu Employee Holdco LLC except to the extent of his pecuniary interest therein.

Reporting Owners

Panarting Owner Name / Address	10	Relationships						
Reporting Owner Name / Addres	Director	10% Owner	Officer	Other				
Lee Cindy								
1633 BROADWAY			Chief Financial Officer					
41ST FLOOR		Cinei Financiai Office						
NEW YORK, NY 10019								

Signatures

Justin Waldie, as Attorney-in-Fact	2/4/2025		
**Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.