

OCCIDENTAL PETROLEUM CORP /DE/

Reported by POLADIAN AVEDICK BARUYR

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 04/02/25 for the Period Ending 03/31/25

Address 5 GREENWAY PLAZA

SUITE 110

HOUSTON, TX, 77046

Telephone 7132157000

CIK 0000797468

Symbol OXY

SIC Code 1311 - Crude Petroleum and Natural Gas

Industry Oil & Gas Exploration and Production

Sector Energy

Fiscal Year 12/31

FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
☐ Check this box to indicate

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | 2. I | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|---|-------------------|---|-------------|---|---|---------------------------------------|--|---------------------|-----------|---|---|------------|--|--|--|--|
| I OLADIAN AV EDICK DAKO I K | | | | | OCCIDENTAL PETROLEUM CORP /DE/ [OXY] | | | | | | | X Director 10% Owner | | | | | |
| (Last |) (First | t) (Mic | ldle) | 3. I | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | |) | Officer (give title below) Other (specify below) | | | | | |
| OCCIDENT CORPORA PLAZA, ST | ΓΙΟΝ, 5 | | | | | | 3/3 | 1/2(| 025 | | | | | | | | |
| (Street) | | | | 4. I | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| HOUSTON,, TX 77046 (City) (State) (Zip) | | | | | - | | | | | | X_Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| | | | | Non-Der | ivat | ive Sec | urities Acc | quir | ed, Di | sposed o | f, or Be | neficially Owne | d | | | | |
| 1.Title of Security (Instr. 3) | | | 2. T | Trans. Date | Pate 2A. Deemed Execution Date, if any 3. Trans. Co. (Instr. 8) | | | ode | or Disposed of (D) | | | Amount of Securities Beneficially Owned ollowing Reported Transaction(s) nstr. 3 and 4) | | | Ownership of Indirect Form: Beneficia Direct (D) Ownershi | Beneficial Ownership | |
| | | | | | | | Code | V | Amou | nt (A) or | Price | | | | or Indirect (I) (Instr. 4) | (Instr. 4) | |
| Common Stock 3/31/20 | | | | /31/2025 | | | X | | 9,32 | $A^{(1)}$ | \$21.3 | | | 83,984 | D | | |
| | Tak | ole II - Der | ivative Se | ecurities l | Ben | eficially | Owned (| e.g., | puts, | calls, wa | ırrants, | options, conver | tible secu | rities) | | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative | 3. Trans. Date | 3A. Deemed Execution Date, if any | Code | Derivativ | | ve Securities I (A) or I of (D) | | and Expiration Date | | | | Derivative | 9. Number of derivative Securities Beneficially Owned Following | 10. Ownership Form of Derivative Security: Direct (D) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | Security | | | Code | V | V (A) (D) Date Expiration Date Expiration Date Title Amount or Number of Shares | | Reported Transaction(s) (Instr. 4) | or Indirect | | | | | | | | |
| Warrants (Right to buy) | \$21.3 | 3/31/2025 | | X | | | 9,327 (1) | 8/3/ | /2020 | 8/3/2027 | Commo Stock | 9,327 | \$0 | 0 | D | | |

Explanation of Responses:

(1) The Issuer offered holders of the Issuer's outstanding publicly traded warrants, each representing the right to purchase one share of the Issuer's common stock, par value \$0.20 per share, at an exercise price of \$22.00 (the "Warrants"), the opportunity to exercise the Warrants at a temporarily reduced exercise price of \$21.30 per Warrant (the "Offer"). The Offer expired on March 31, 2025, at which time the reporting person exercised 9,327 Warrants at the temporarily reduced price in connection with the Offer.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|----------------------------------|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Ivame / Address | Director | 10% Owner | Officer | Other | | | |
| POLADIAN AVEDICK BARUYR | | | | | | | |
| OCCIDENTAL PETROLEUM CORPORATION | v | | | | | | |
| 5 GREENWAY PLAZA, STE. 110 | Λ | | | | | | |
| HOUSTON,, TX 77046 | | | | | | | |

/s/ Brittany A. Smith, Attorney-in-Fact for Avedick Baruyr Poladian

4/2/2025

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.