

# **GLASS HOUSE BRANDS INC.**

Reported by  
**TU WILLIAM**

## **FORM 4**

(Statement of Changes in Beneficial Ownership)

Filed 05/19/26 for the Period Ending 05/18/26

Address	3645 LONG BEACH BLVD. LONG BEACH, CA, 90807
Telephone	5622645078
CIK	0001848731
Symbol	GLASF
SIC Code	2833 - Medicinal Chemicals and Botanical Products
Industry	Drug Retailers
Sector	Consumer Non-Cyclicals
Fiscal Year	12/31

# FORM 4

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**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

<b>1. Name and Address of Reporting Person *</b>  <b>Tu William</b>  (Last) (First) (Middle)  <b>3645 LONG BEACH BLVD</b> (Street)  <b>LONG BEACH CALIFORNIA 90807</b> (City) (State) (Zip/Postal Code)  <b>UNITED STATES</b> (Country)	<b>2. Issuer Name and Ticker or Trading Symbol</b>  <b>Glass House Brands Inc. [GLASF]</b>  <b>2a. Foreign Trading Symbol</b>  <b>[GLAS.AU]</b>	<b>5. Relationship of Reporting Person(s) to Issuer</b> (Check all applicable)  <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <b>SVP, Corporate Controller</b>
<b>3. Date of Earliest Transaction (MM/DD/YYYY)</b>  <p align="center"><b>5/18/2026</b></p>		<b>6. Individual or Joint/Group Filing (Check Applicable Line)</b>  <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
<b>4. If Amendment, Date Original Filed (MM/DD/YYYY)</b>		

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Equity Shares <sup>(1)</sup>	5/18/2026		M		8,333	A	<sup>(3)</sup>	34,635	D	

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	<sup>(3)</sup>	5/18/2026		M		8,333		<sup>(2)</sup>	<sup>(2)</sup>	Equity Shares	8,333	\$0	150,871	D	

**Explanation of Responses:**

- (1) The Subordinate Voting Shares, Restricted Voting Shares, and/or Limited Voting Shares of Glass House Brands Inc. ("GHBI") (collectively, the "Equity Shares").
- (2) The Reporting Person was granted 8,333 RSUs on July 12, 2023 (the "RSUs"). The RSUs vest on May 18, 2026.
- (3) Each RSU represents the right to receive, at settlement, one share of GHBI's Equity Shares.

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
<b>Tu William</b> <b>3645 LONG BEACH BLVD</b> <b>LONG BEACH</b> <b>CALIFORNIA</b>			<b>SVP, Corporate Controller</b>	

90807				
UNITED STATES				

**Signatures**

/s/ Benjamin Vega, Attorney-in-Fact for William Tu

5/19/2026

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

\* Form 4: SEC 1474 (03-26).