

# TRINITY CAPITAL INC.

## **FORM 8-K** (Current report filing)

Filed 10/08/26 for the Period Ending 10/08/26

|             |                                                 |
|-------------|-------------------------------------------------|
| Address     | 1 N. 1ST ST.<br>3RD FLOOR<br>PHOENIX, AZ, 85004 |
| Telephone   | 480.374.5350                                    |
| CIK         | 0001786108                                      |
| Symbol      | TRIN                                            |
| SIC Code    | 6199 - Finance Services                         |
| Industry    | Investment Management & Fund Operators          |
| Sector      | Financials                                      |
| Fiscal Year | 12/31                                           |

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549**

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**FORM 8-K**

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**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): October 8, 2026**

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**TRINITY CAPITAL INC.**

(Exact name of Registrant as Specified in Its Charter)

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**Maryland**  
(State or Other Jurisdiction  
of Incorporation)

**001-39958**  
(Commission File Number)

**35-2670395**  
(IRS Employer  
Identification No.)

**1 N. 1st Street  
Suite 302  
Phoenix, Arizona**  
(Address of Principal Executive Offices)

**85004**  
(Zip Code)

**Registrant's Telephone Number, Including Area Code: (480) 374-5350**

**Not Applicable**

(Former Name or Former Address, if Changed Since Last Report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Securities registered pursuant to Section 12(b) of the Act:**

| <b>Title of each class</b>                | <b>Trading<br/>Symbol(s)</b> | <b>Name of each exchange on which registered</b> |
|-------------------------------------------|------------------------------|--------------------------------------------------|
| Common Stock, par value \$0.001 per share | TRIN                         | New York Stock Exchange<br>NYSE Texas, Inc.      |
| 7.875% Notes Due 2029                     | TRNZ                         | New York Stock Exchange<br>NYSE Texas, Inc.      |
| 7.875% Notes Due 2029                     | TRNI                         | New York Stock Exchange<br>NYSE Texas, Inc.      |

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

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**Item 7.01 Regulation FD Disclosure.**

On October 8, 2026, Trinity Capital Inc. (the “Company”) issued a press release regarding its portfolio activity during the third quarter and announcing that it will release its complete third quarter 2026 financial statements on Wednesday, November 4, 2026 and will discuss its financial results on a conference call the same day at 12:00 p.m. ET. A copy of such press release is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

The information disclosed herein, including Exhibit 99.1 hereto, shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934 and shall not be deemed incorporated by reference into any filing made under the Securities Act of 1933, except as expressly set forth by specific reference in such filing.

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**Item 9.01 Financial Statements and Exhibits.**

(d) Exhibits

| Exhibit Number | Description                                                                 |
|----------------|-----------------------------------------------------------------------------|
| 99.1           | <a href="#">Press Release, dated October 8, 2026.</a>                       |
| 104            | Cover Page Interactive Data File (embedded within the Inline XBRL document) |

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Trinity Capital Inc.

Date: October 8, 2026

By: /s/ Kyle Brown

Kyle Brown  
Chief Executive Officer

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## **Trinity Capital Achieves \$880 Million of New Commitments and \$614 Million in Funded Investments in the Third Quarter of 2026**

PHOENIX, October 8, 2026 /PRNewswire/ -- Trinity Capital Inc. (NYSE: TRIN) (the "Company"), a leading alternative asset manager, today announced a portfolio update for the third quarter and first three quarters of 2026. Trinity Capital originated \$880 million of new commitments in the third quarter of 2026, bringing new commitments for the first three quarters of 2026 to \$2.0 billion.

### **Third quarter 2026 investment highlights:**

- Gross investments funded totaled approximately \$614 million, which was comprised of \$378 million in secured loans, \$159 million in equipment financings and \$77 million in warrant and equity investments.
- The Company originated approximately \$880 million of new commitments, which was comprised of \$534 million in secured loans, \$270 million in equipment financings and \$76 million in equity investments.
- The Company funded approximately \$432 million to 15 new portfolio companies, \$118 million to 24 existing portfolio companies and \$64 million to multi-sector holdings.
- Gross proceeds received from repayments and exits of the Company's investments totaled approximately \$495 million, which included \$237 million from debt investments sold, \$195 million from early debt repayments and refinancings, \$57 million from scheduled/amortizing debt payments and \$6 million from warrant and equity exits.

### **Aggregate investment highlights for the first three quarters of 2026:**

- Gross investments funded totaled approximately \$1.5 billion, which was comprised of \$1.1 billion in secured loans, \$322 million in equipment financings and \$131 million in warrant and equity investments.
- The Company originated approximately \$2.0 billion of total new commitments, which was comprised of \$1.5 billion in secured loans, \$380 million in equipment financings and \$131 million in equity investments.
- The Company funded approximately \$927 million to 36 new portfolio companies and \$526 million to 35 existing portfolio companies and \$86 million to multi-sector holdings.
- Gross proceeds received from repayments and exits of the Company's investments totaled approximately \$1.1 billion, which included \$523 million from early debt repayments and refinancings, \$381 million from debt investments sold, \$184 million from scheduled/amortizing debt payments and \$22 million from warrant and equity exits.

Trinity Capital will release its complete third quarter 2026 financial results on Wednesday, November 4, 2026 and will discuss its financial results on a conference call the same day at 12:00 p.m. ET.

To listen to the call, please dial (800) 267-6316 or (203) 518-9783 internationally and reference Conference ID: TRINQ326 if asked, approximately 10 minutes prior to the start of the call. A live webcast of the third quarter 2026 financial results conference call will also be available on the Investor Relations section of the Company's website at [ir.trinitycapital.com](http://ir.trinitycapital.com). A replay will be available on the Company's website for 90 days following the conference call.

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### **About Trinity Capital Inc.**

Trinity Capital Inc. (NYSE: TRIN) is an international alternative asset manager that seeks to deliver consistent returns for investors through access to private credit markets. Trinity Capital sources and structures investments in well-capitalized growth-oriented companies across five distinct lending verticals: Sponsor Finance, Equipment Finance, Tech Lending, Asset Based Lending, and Healthcare & Life Sciences. Headquartered in Phoenix, Arizona, Trinity Capital's dedicated team is strategically located across the United States and Europe. For more information on Trinity Capital, please visit [trinitycapital.com](http://trinitycapital.com) and stay connected to the latest activity via LinkedIn.

### **Forward-Looking Statements**

This press release may contain "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995. Statements other than statements of historical facts included in this press release may constitute forward-looking statements and are not guarantees of future performance or results and involve a number of risks and uncertainties. Actual results may differ materially from those in the forward-looking statements as a result of a number of factors, including those described from time to time in filings with the Securities and Exchange Commission ("SEC"). The Company undertakes no duty to update any forward-looking statement made herein, except as required by law. All forward-looking statements speak only as of the date of this press release. More information on risks and other potential factors that could affect the Company's financial results, including important factors that could cause actual results to differ materially from plans, estimates or expectations, is included in the Company's filings with the SEC, including in the "Risk Factors" and "Management's Discussion and Analysis of Financial Condition and Results of Operations" sections of the Company's most recently filed annual report on Form 10-K and subsequent SEC filings.

### **Contact**

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