

NORTHWESTERN UNIVERSITY

FORM 13F-HR (Form 13F Holdings Report)

Filed 05/04/21 for the Period Ending 03/31/21

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Fiscal Year 08/31

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 13F

OMB APPROVAL

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FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 03-31-2021

Check here if Amendment: Amendment Number: _____

This Amendment (Check only one.): is a restatement.

adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: NORTHWESTERN UNIVERSITY
Address: 1800 SHERMAN AVE SUITE 400
INVESTMENT OFFICE
EVANSTON IL 60201

Form 13F File Number: 028-17059

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Mark Hytros
Title: Director of Investment Operations
Phone: 847-491-7050

Signature, Place, and Date of Signing:

Mark Hytros Evanston, ILLINOIS 05-04-2021
[Signature] [City, State] [Date]

Do you wish to provide information pursuant to Special Instruction 5?

Yes No

Report Type (Check only one.):

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

Form 13F Summary Page

Report Summary:

Number of Other Included Managers:	<u>0</u>
Form 13F Information table Entry Total:	<u>3</u>
Form 13F Information table Value Total:	<u>91,678</u> (thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

NONE

FORM 13F INFORMATION TABLE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8				
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHRS OR PRN AMT	SH/ PRN	PUT/ CALL	INVESTMENT DISCRETION	OTHER MANAGER	VOTING AUTHORITY		
									SOLE	SHARED	NONE
BERKSHIRE HATHAWAY INC DEL	CL A	084670108	87,940	228	SH	-	OTR	1	228	0	0
APTINYX INC	COM	03836N103	328	109,280	SH	-	SOLE	0	109,280	0	0
EXICURE INC	COM	30205M101	3,410	1,564,372	SH	-	SOLE	0	1,564,372	0	0